

# Decision Tree

COEN140

Santa Clara University

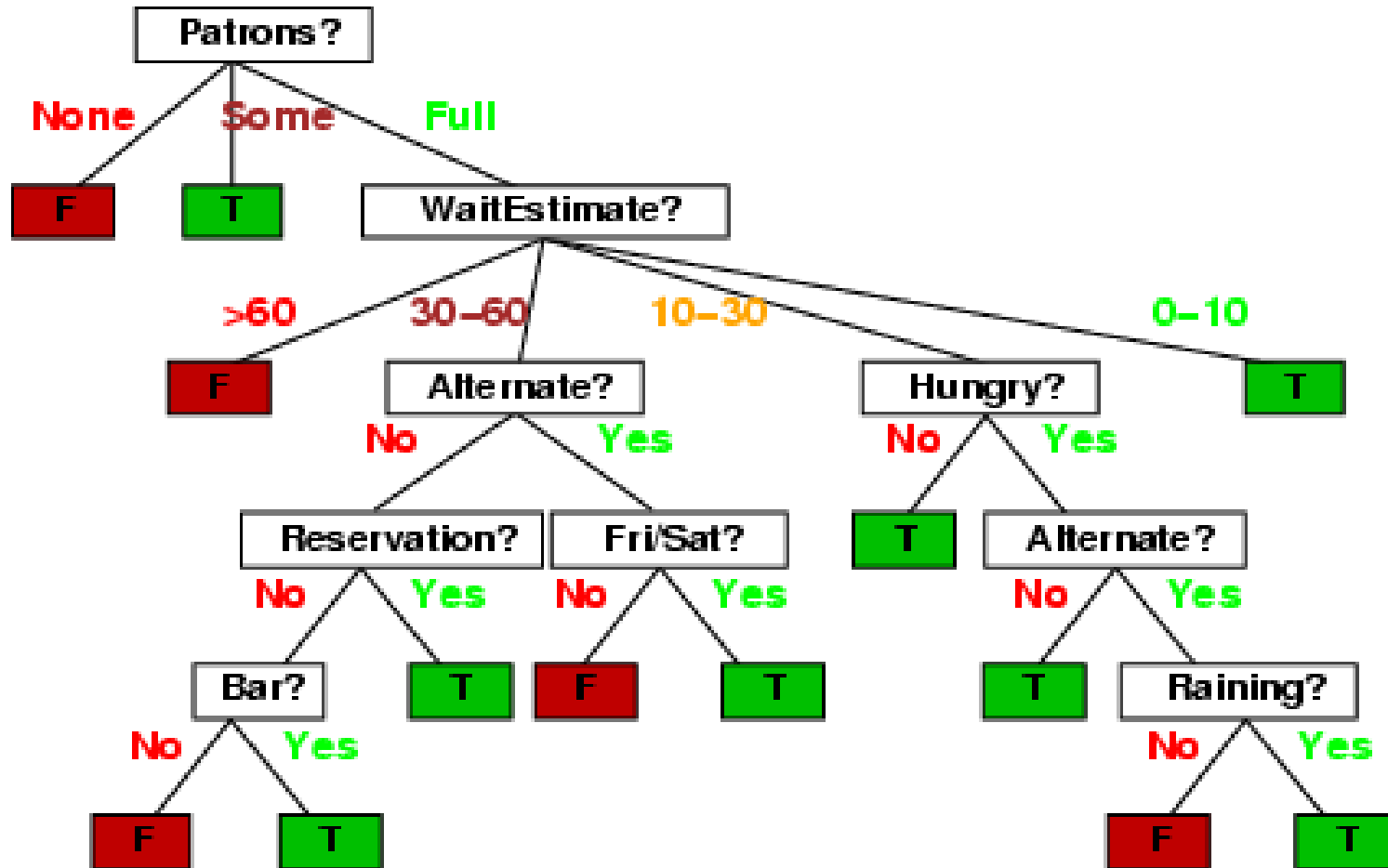
# Decide whether to wait in a restaurant?

- Ask yourself questions
  - **Alternate**: is there an alternative restaurant nearby?
  - **Bar**: is there a comfortable bar area to wait in?
  - **Fri/Sat**: is today Friday or Saturday?
  - **Hungry**: are we hungry?
  - **Patrons**: number of people in the restaurant (None, Some, Full)

# Decide whether to wait in a restaurant?

- Ask yourself questions
  - **Price**: price range (\$, \$\$, \$\$\$)
  - **Raining**: is it raining outside?
  - **Reservation**: have we made a reservation?
  - **Type**: kind of restaurant (French, Italian, Thai, Burger)
  - **WaitEstimate**: estimated waiting time (0-10, 10-30, 30-60, >60)

# Ask questions one by one



# Ask questions one by one

- What should be the first question to ask?
- What should be the next question to ask?
- ...
- When can you get to a decision?

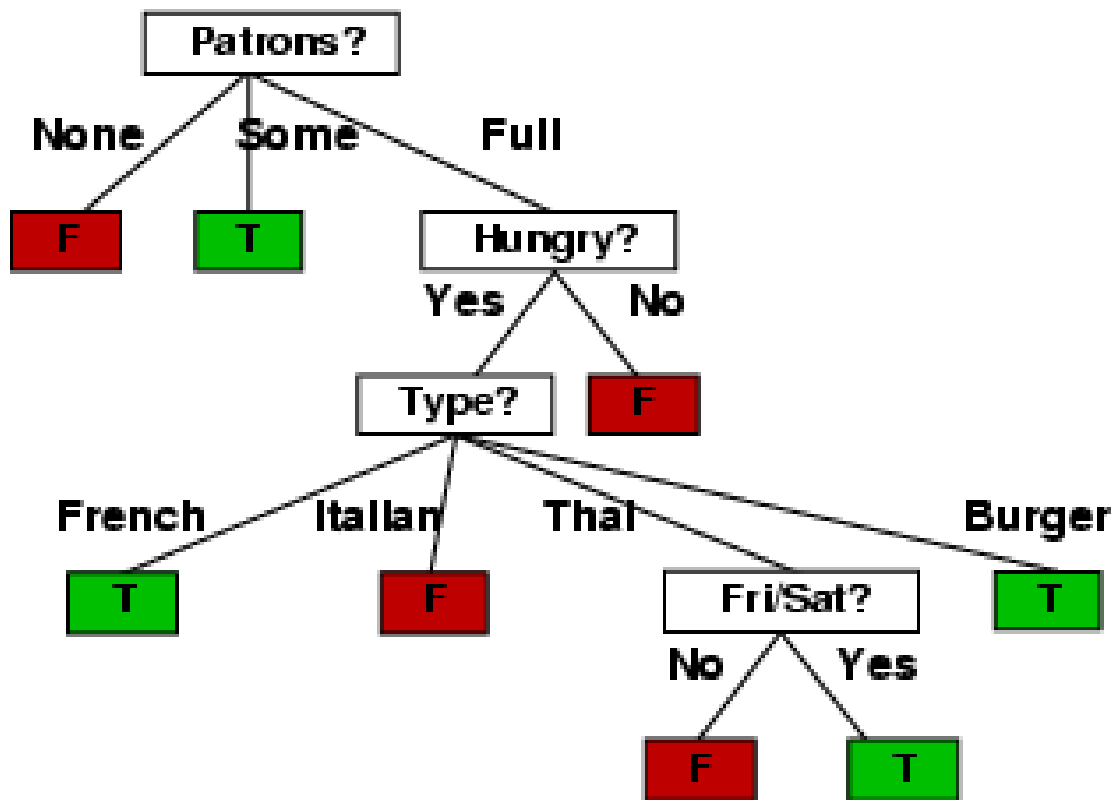
# Data Samples: a set of examples

- Classification of examples is **positive** (T) or **negative** (F)
- General form for data:  $N$  samples, each with **attributes**  $(x_1, x_2, x_3, \dots, x_d)$  and **target value**  $y$ .

| Example  | Input Attributes |     |     |     |      |        |      |     |         |       | Goal                  |
|----------|------------------|-----|-----|-----|------|--------|------|-----|---------|-------|-----------------------|
|          | Alt              | Bar | Fri | Hun | Pat  | Price  | Rain | Res | Type    | Est   | <i>WillWait</i>       |
| $x_1$    | Yes              | No  | No  | Yes | Some | \$\$\$ | No   | Yes | French  | 0–10  | $y_1 = \text{Yes}$    |
| $x_2$    | Yes              | No  | No  | Yes | Full | \$     | No   | No  | Thai    | 30–60 | $y_2 = \text{No}$     |
| $x_3$    | No               | Yes | No  | No  | Some | \$     | No   | No  | Burger  | 0–10  | $y_3 = \text{Yes}$    |
| $x_4$    | Yes              | No  | Yes | Yes | Full | \$     | Yes  | No  | Thai    | 10–30 | $y_4 = \text{Yes}$    |
| $x_5$    | Yes              | No  | Yes | No  | Full | \$\$\$ | No   | Yes | French  | > 60  | $y_5 = \text{No}$     |
| $x_6$    | No               | Yes | No  | Yes | Some | \$\$   | Yes  | Yes | Italian | 0–10  | $y_6 = \text{Yes}$    |
| $x_7$    | No               | Yes | No  | No  | None | \$     | Yes  | No  | Burger  | 0–10  | $y_7 = \text{No}$     |
| $x_8$    | No               | No  | No  | Yes | Some | \$\$   | Yes  | Yes | Thai    | 0–10  | $y_8 = \text{Yes}$    |
| $x_9$    | No               | Yes | Yes | No  | Full | \$     | Yes  | No  | Burger  | > 60  | $y_9 = \text{No}$     |
| $x_{10}$ | Yes              | Yes | Yes | Yes | Full | \$\$\$ | No   | Yes | Italian | 10–30 | $y_{10} = \text{No}$  |
| $x_{11}$ | No               | No  | No  | No  | None | \$     | No   | No  | Thai    | 0–10  | $y_{11} = \text{No}$  |
| $x_{12}$ | Yes              | Yes | Yes | Yes | Full | \$     | No   | No  | Burger  | 30–60 | $y_{12} = \text{Yes}$ |

# Decision Tree

- You want to “learn” a tree from those training examples
  - a small tree **consistent with** the training examples



# Decision Tree

- Decision tree: is a classifier
  - An input-output mapping
  - $y = f(\mathbf{x})$
  - Input:  $\mathbf{x} = [x_1, x_2, \dots, x_d]^T$   
 $d$  attributes/features
  - Output:  $y$ , the decision
- It performs classification (makes decisions) by:
  - Executing a sequence of tests
  - Each test: test the value of an attribute



# Decision Tree

- **Decision tree:** is a classifier
  - An input-output mapping
  - $y = f(\mathbf{x})$
  - Input:  $\mathbf{x} = [x_1, x_2, \dots, x_d]^T$   
 $d$  attributes
  - Output:  $y$ , the decision
- We are given a set of training samples
  - Learn a decision tree (i.e. learn a classifier)
- Then we can apply this decision tree to a new instance to make a decision

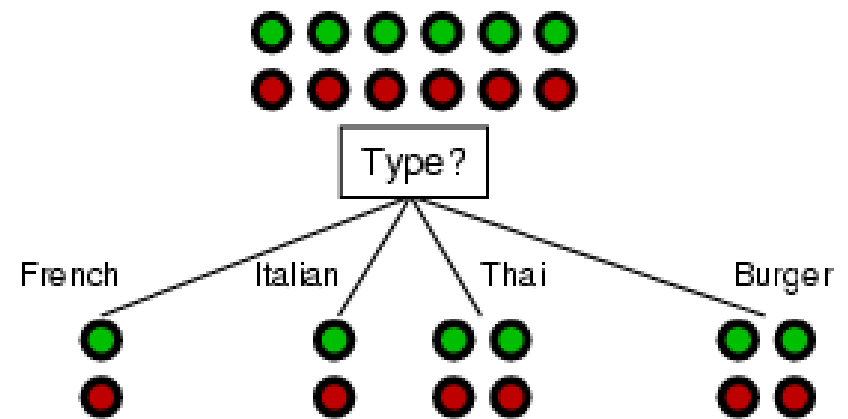
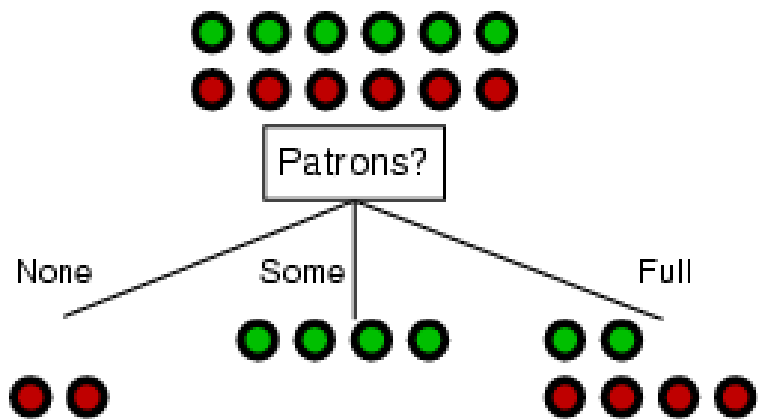
# Data Samples

- Classification of examples is **positive** (T) or **negative** (F)
- General form for data:  $N$  instances, each with **attributes**  $(x_1, x_2, x_3, \dots, x_d)$  and **target value**  $y$ .

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| $x_{12}$ | Yes              | Yes | Yes | Yes | Full | \$     | No   | No  | Burger  | 30–60 | $y_{12} = \text{Yes}$ |

# How to choose an attribute?

- **Idea:** a good attribute splits the examples into subsets that are (ideally) “all positive” or “all negative”



- *Patrons or type?*

To wait or not to wait is still at 50%.

# Information Theory

- Consider a discrete random source  $S$ , which takes on symbols from a fixed finite alphabet

$$\mathcal{S} = \{s_0, s_1, \dots, s_{K-1}\}$$

with probabilities

$$P(S = s_k) = p_k, \quad k = 0, 1, \dots, K - 1$$

- The set of probabilities satisfy

$$\sum_{k=0}^{K-1} p_k = 1$$

# Information Theory

- Measure how much information is produced by such a random source  $S$ ?
- Information: related to “uncertainty”

# Example

- Let random source  $S$  represent tomorrow will rain or not rain.
- $S = 1$ : tomorrow will rain
- $S = 0$ : tomorrow will not rain

- I told you:

$$p_1 = P(S = 1) = 0.98$$

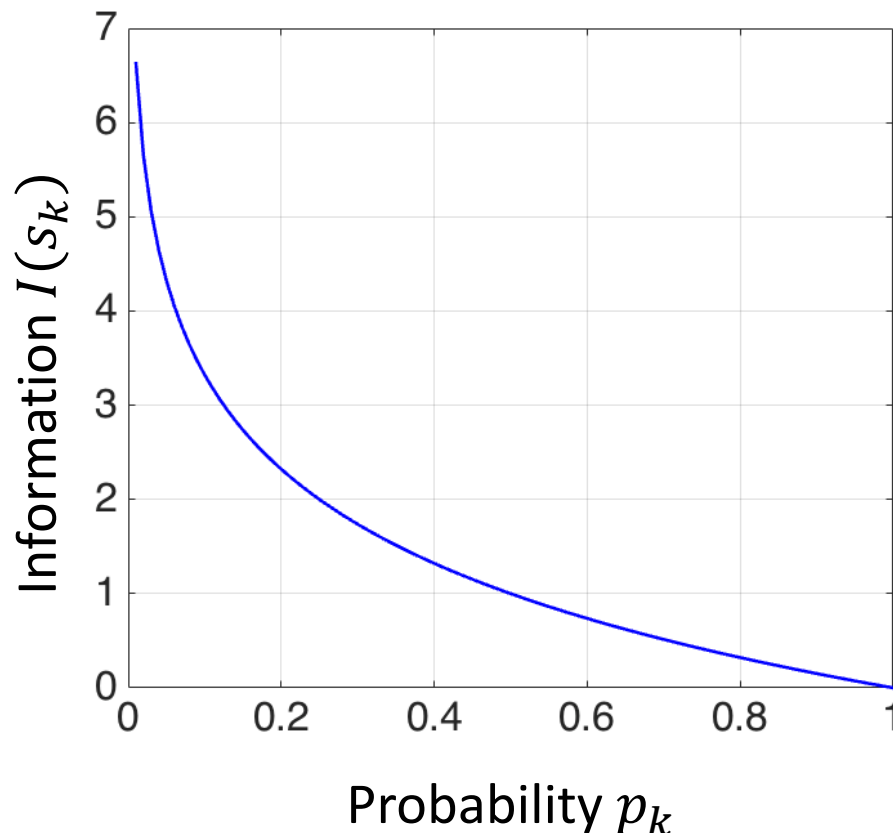
- When tomorrow arrives, it does rain.
  - Is it surprising or not?
- What if  $p_1 = P(S = 1) = 0.01$ ?

# Uncertainty, Surprise, Information

- Uncertainty: before the event  $S = s_k$  occurs, there is an amount of uncertainty
- Surprise: when the event  $S = s_k$  occurs, there is an amount of surprise
- Information: after the occurrence of the event  $S = s_k$ , there is gain in the amount of information.  
The amount of information is related to the inverse of  $p_k$  (probability of occurrence)

# Information

- Define the amount of information gained after observing the event  $S = s_k$ , which occurs with probability  $p_k$



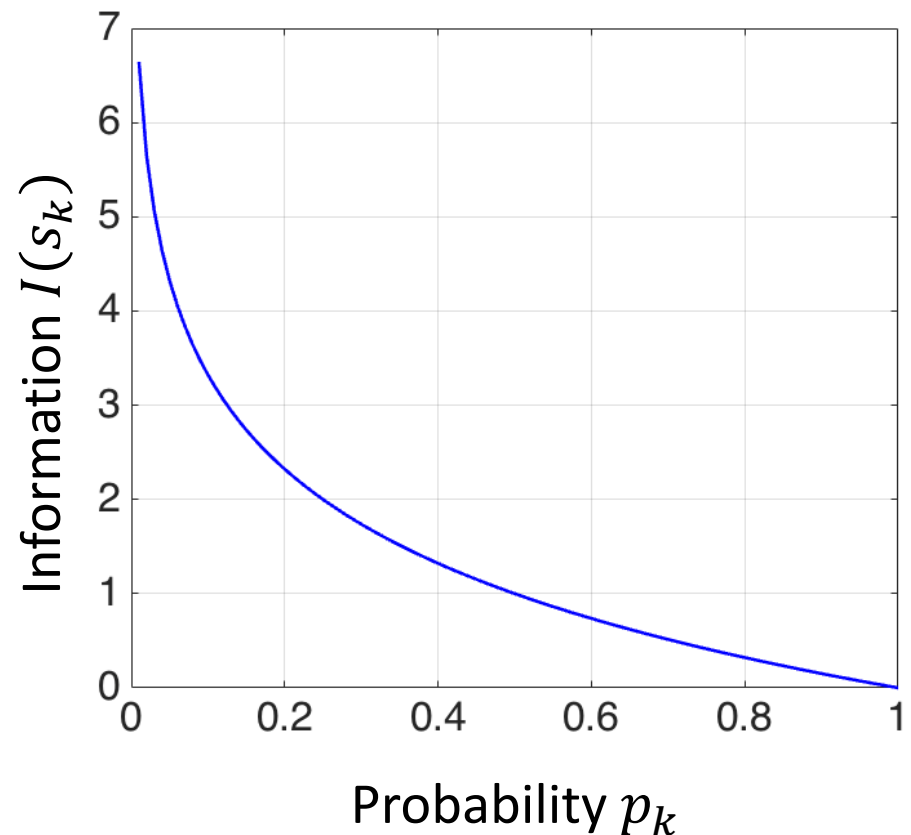
$$I(s_k) = \log_2 \frac{1}{p_k}$$



# Information

$$I(s_k) = \log \frac{1}{p_k}$$

- $I(s_k) = 0$  for  $p_k = 1$
- $I(s_k) \geq 0$  for  $0 \leq p_k \leq 1$
- $I(s_k) > I(s_i)$  for  $p_k < p_i$



# Information

$$I(s_k) = \log \frac{1}{p_k}$$

- base of the logarithm: arbitrary
- we usually use  $\log_2$
- The resulting unit of information is called the bit

$$I(s_k) = \log_2 \frac{1}{p_k} = -\log_2 p_k, k = 0, 1, \dots, K - 1$$

e.g. If  $k = 0, 1$ , and  $p_k = 1/2$ , then  $I(s_k) = 1$  bit (one bit is the amount of information that we gain when one of two possible and equally likely events occurs)

# Example

- You have a message to send to a friend
- $S$ : tomorrow's weather condition

| $k$ | $s_k$  | $P(S = s_k)$ | $I(s_k) = \log_2 \frac{1}{p_k}$ |
|-----|--------|--------------|---------------------------------|
| 1   | sunny  | 1/4          | 2 bits                          |
| 2   | rainy  | 1/4          | 2 bits                          |
| 3   | windy  | 1/4          | 2 bits                          |
| 4   | cloudy | 1/4          | 2 bits                          |

- Encode these messages in a sequence of binary “bits”.
  - How many bits do you need to represent each of the four messages?

# Entropy

- The expectation of  $I(s_k)$  over the source alphabet  $\mathcal{S}$  is
- $H(S) = E[I(s_k)] = \sum_{k=0}^{K-1} p_k I(p_k) = \sum_{k=0}^{K-1} p_k \log_2 \frac{1}{p_k}$
- The entropy of a discrete random source  $S$

$$H(S) = \sum_{k=0}^{K-1} p_k \log_2 \frac{1}{p_k}$$

$$\text{Or } H(S) = - \sum_{k=0}^{K-1} p_k \log_2 p_k$$

# Information

- If there are  $K$  symbols in the source alphabet, then

$$0 \leq H(S) \leq \log_2 K$$

- $H(S) = 0$  if and only if  $p_k = 1$  for some  $k$ , and the remaining probabilities in the set are all zero  
 $\Rightarrow$  the lower bound of entropy (corresponds to no uncertainty)
- $H(S) = \log_2 K$  if and only if  $p_k = 1/K$  for all  $k$  (i.e. all symbols in the alphabet are equiprobable)  
 $\Rightarrow$  upper bound of entropy (corresponds to maximum uncertainty)

# Entropy of Binary Source (Classes)

- Symbol 0 occurs with probability  $p_0$ , symbol 1 occurs with probability  $p_1 = 1 - p_0$

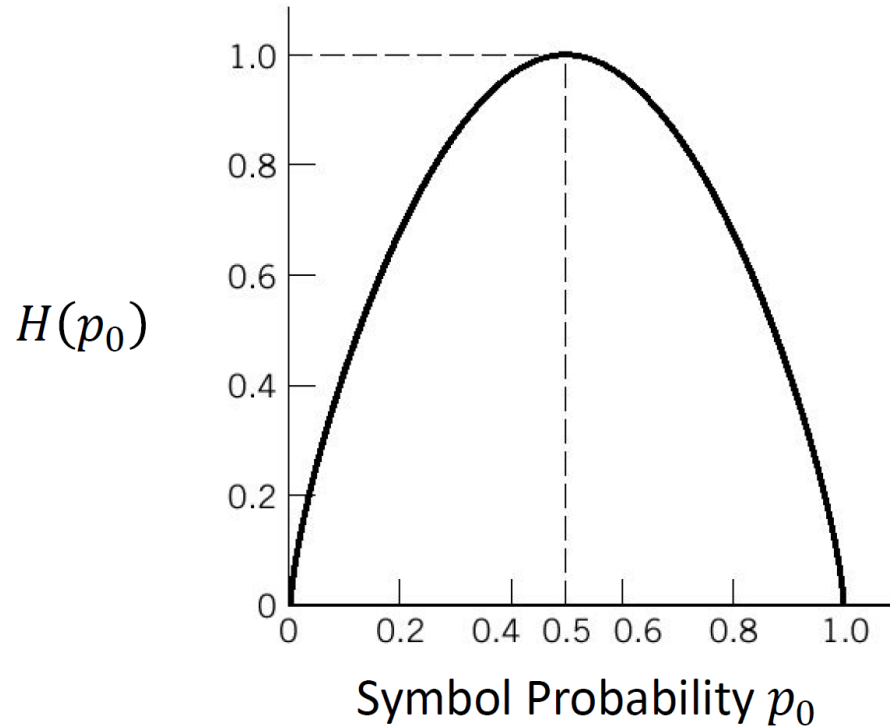
- The entropy of such a source equals

$$\begin{aligned} H(S) &= -p_0 \log_2 p_0 - p_1 \log_2 p_1 \\ &= -p_0 \log_2 p_0 - (1 - p_0) \log_2(1 - p_0) \text{ bits} \end{aligned}$$

- Use a special notation for such  $H(S)$ , which is

$$H(p_0) = -p_0 \log_2 p_0 - (1 - p_0) \log_2(1 - p_0) \text{ bits}$$

# Entropy of Binary Source (Classes)



- When  $p_0 = 0$  or  $p_0 = 1$ ,  $H(p_0) = 0$  (no information)
- When  $p_0 = p_1 = \frac{1}{2}$ ,  $H(p_0) = 1$  (maximum information)

# Example

- The distribution of a discrete random source  $X$  is the following, calculate the entropy  $H(X)$ .

- $P(X = x_1) = \frac{1}{4}, P(X = x_2) = \frac{3}{4}$

- Answer

- $$H(X) = -\sum_{i=1}^2 p_i \times \log_2 p_i = -\frac{1}{4} \log_2 \frac{1}{4} - \frac{3}{4} \log_2 \frac{3}{4}$$
$$= 0.8113 \text{ bits}$$



# Example

- The distribution of a discrete random source  $X$  is the following, calculate the entropy  $H(X)$ .

- $P(X = x_1) = \frac{1}{2}, P(X = x_2) = \frac{1}{4}, P(X = x_3) = \frac{1}{4}$

- Answer

- $H(X) = -\sum_{i=1}^3 p_i \times \log_2 p_i$
- $= -\frac{1}{2} \log_2 \frac{1}{2} - \frac{1}{4} \log_2 \frac{1}{4} - \frac{1}{4} \log_2 \frac{1}{4}$   
 $= 1.5 \text{ bits}$

# Example – Compare different distributions

- Source  $S_1$ :  $p_1 = 0, p_2 = 1$
- Source  $S_2$ :  $p_1 = \frac{1}{2}, p_2 = \frac{1}{2}$
- Source  $S_3$ :  $p_1 = \frac{1}{3}, p_2 = \frac{1}{3}, p_3 = \frac{1}{3}$
- Source  $S_4$ :  $p_1 = \frac{1}{2}, p_2 = \frac{1}{3}, p_3 = \frac{1}{6}$
- Compare  $H(S_1), H(S_2), H(S_3), H(S_4)$  without computation?

# Example – Compare different distributions

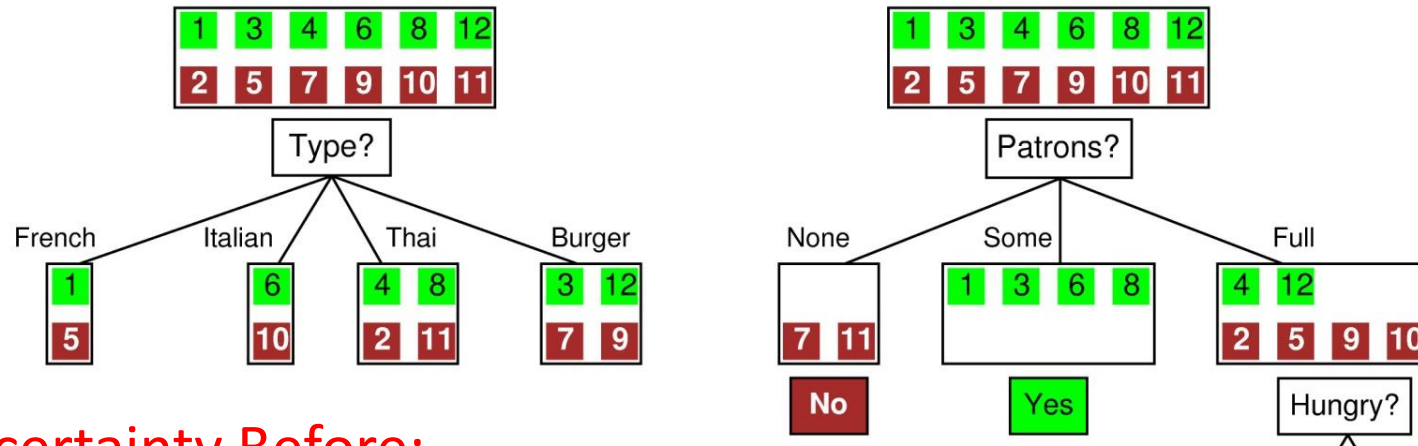
- Source  $S_1$ :  $p_1 = 0, p_2 = 1$
- Source  $S_2$ :  $p_1 = \frac{1}{2}, p_2 = \frac{1}{2}$
- Source  $S_3$ :  $p_1 = \frac{1}{3}, p_2 = \frac{1}{3}, p_3 = \frac{1}{3}$
- Source  $S_4$ :  $p_1 = \frac{1}{2}, p_2 = \frac{1}{3}, p_3 = \frac{1}{6}$
- Answer:
- $H(S_1)$ : smallest
- $H(S_2) < H(S_3)$
- $H(S_4) < H(S_3)$
- $H(S_2)$  vs  $H(S_4)$ ?
- $H(S_2) < H(S_4)$

# Decision Tree

- What is the uncertainty of the outcome if we disclose the value of some attribute?
- Information Gain:

the uncertainty  
before testing an attribute    —    the uncertainty  
after testing an attribute

# Example



## Uncertainty Before:

$$\text{Entropy}(Y) = -\frac{1}{2} \log_2 \frac{1}{2} - \frac{1}{2} \log_2 \frac{1}{2} = \log_2 2 = 1 \text{ bit:}$$

There is “1 bit of information to be discovered”.

## Uncertainty After testing the attribute Type:

If we go into branch “French”, the uncertainty is still 1 bit, similarly for Italian, Thai, and Burger.

French: 1bit

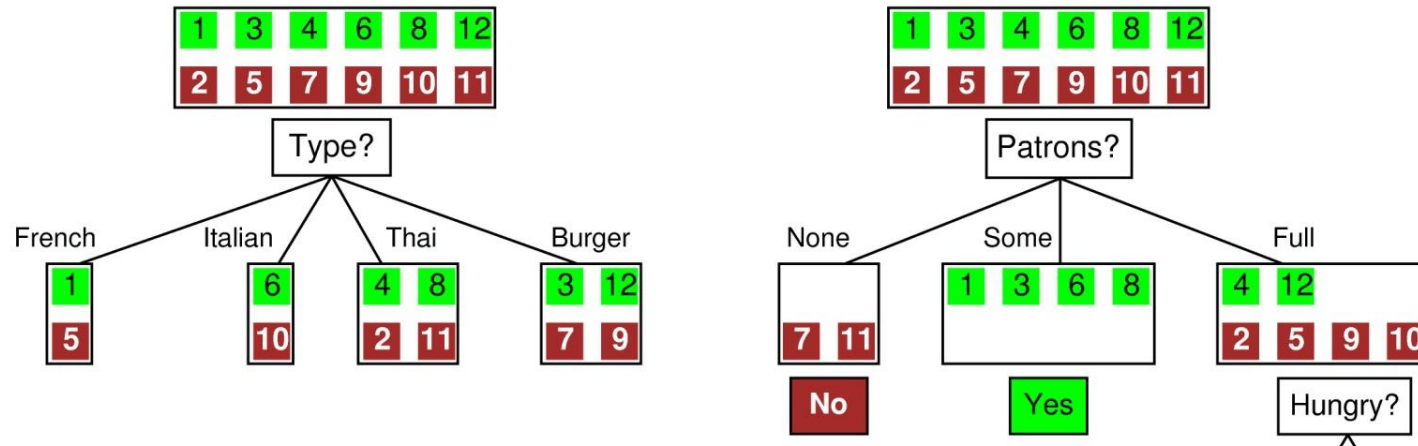
Italian: 1 bit

Thai: 1 bit

Burger: 1bit

On average: 1 bit ! We gained no information!

# Example



**Uncertainty Before:** entropy =  $-\frac{1}{2} \log_2 \frac{1}{2} - \frac{1}{2} \log_2 \frac{1}{2} = \log_2 2 = 1$  bit:  
 There is “1 bit of information to be discovered”.

**Uncertainty After** testing attribute **Patrons**:

In branches “None” and “Some”: entropy = 0,

In branch “Full” entropy =  $-\frac{1}{3} \times \log_2 \frac{1}{3} - \frac{2}{3} \times \log_2 \frac{2}{3} = 0.918$  bits

Uncertainty is reduced!

So attribute **Patrons** gains more information!

# Conditional Entropy

- Consider two RVs  $X$  and  $Y$ 
  - $X$  has  $N$  possible values:  $x_1, x_2, \dots, x_N$
  - $Y$  also has a set of possible values
- The **conditional entropy** of  $Y$  under  $X$  (or given  $X$ ) is defined as
- $H(Y|X) = \sum_{i=1}^N P(X = x_i) \times H(Y|X = x_i)$

# Conditional Entropy

- Combine branches to obtain the entropy (of the outcome) when testing a certain attribute *Patrons*:

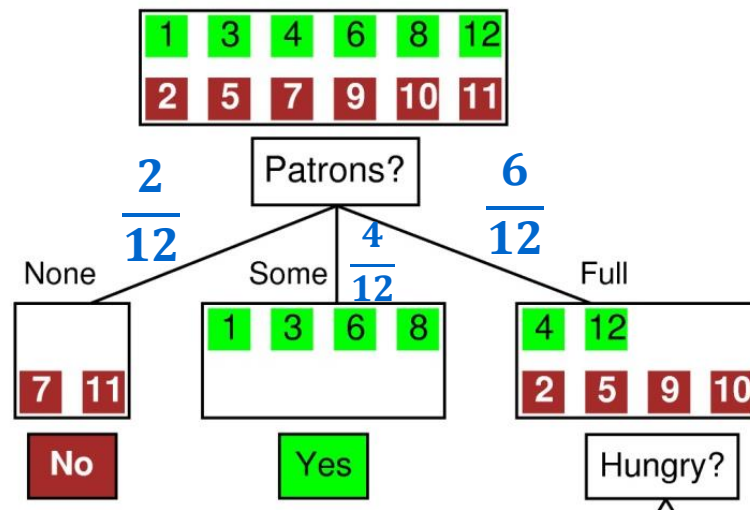
$$H(\text{outcome} | \text{Patrons}) = \sum_{i=1}^3 \frac{p_i + n_i}{p + n} H\left(\frac{p_i}{p_i + n_i}, \frac{n_i}{p_i + n_i}\right)$$

weight for the  $i^{\text{th}}$  branch

Conditional entropy for the  $i^{\text{th}}$  branch.

*Patrons*: has 3 possible values, indexed by  $i$

$p_i$ : the number of positive outcomes when *Patrons* = the  $i^{\text{th}}$  value





# Conditional Entropy

- Combine branches to obtain the entropy (of the outcome) when testing a certain attribute *Patrons*:

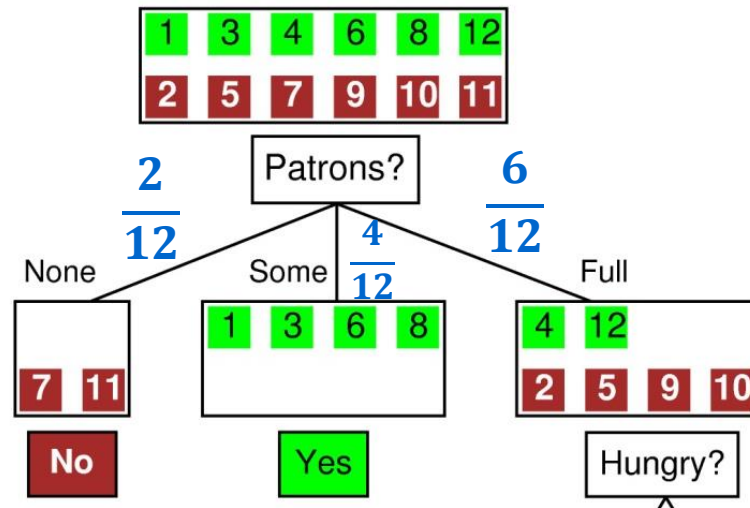
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weight for the  $i^{\text{th}}$  branch

Conditional entropy for the  $i^{\text{th}}$  branch.

*Patrons*: has 3 possible values, indexed by  $i$

$n_i$ : the number of negative outcomes when *Patrons* = the  $i^{\text{th}}$  value



# Conditional Entropy

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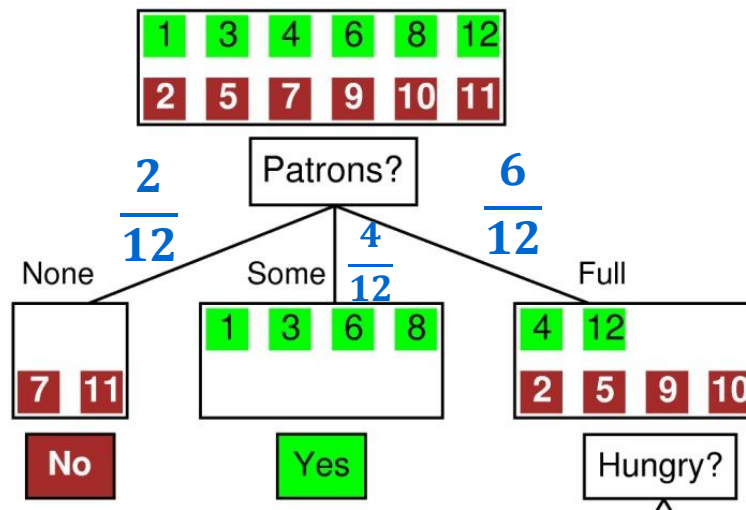
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weight for the  $i^{\text{th}}$  branch

Conditional entropy for the  $i^{\text{th}}$  branch.

$p$ : the total number of positive outcomes in the training examples

$n$ : the total number of negative outcomes in the training examples



# Conditional Entropy

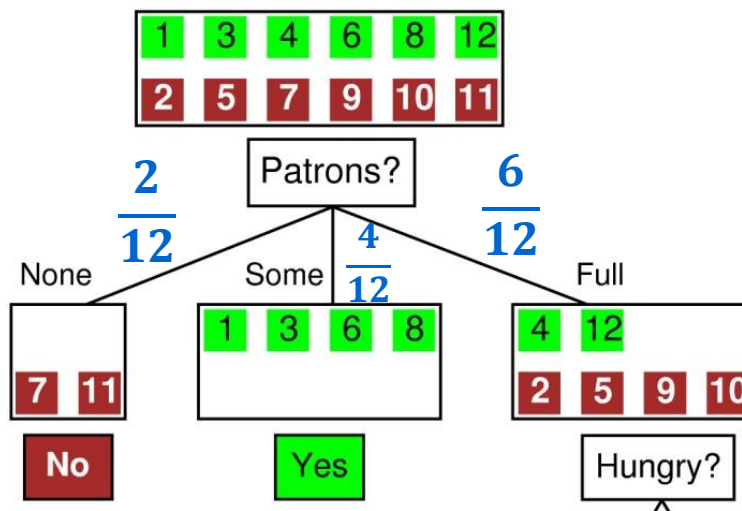
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weight for the  $i^{\text{th}}$  branch

Conditional entropy for the  $i^{\text{th}}$  branch.

$\frac{p_i+n_i}{p+n}$ : proportion of training examples when *Patrons* = the  $i^{\text{th}}$  value



# Conditional Entropy

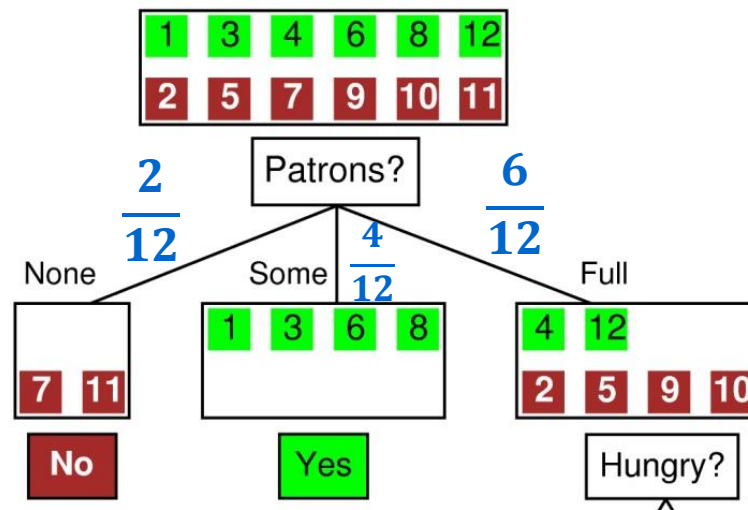
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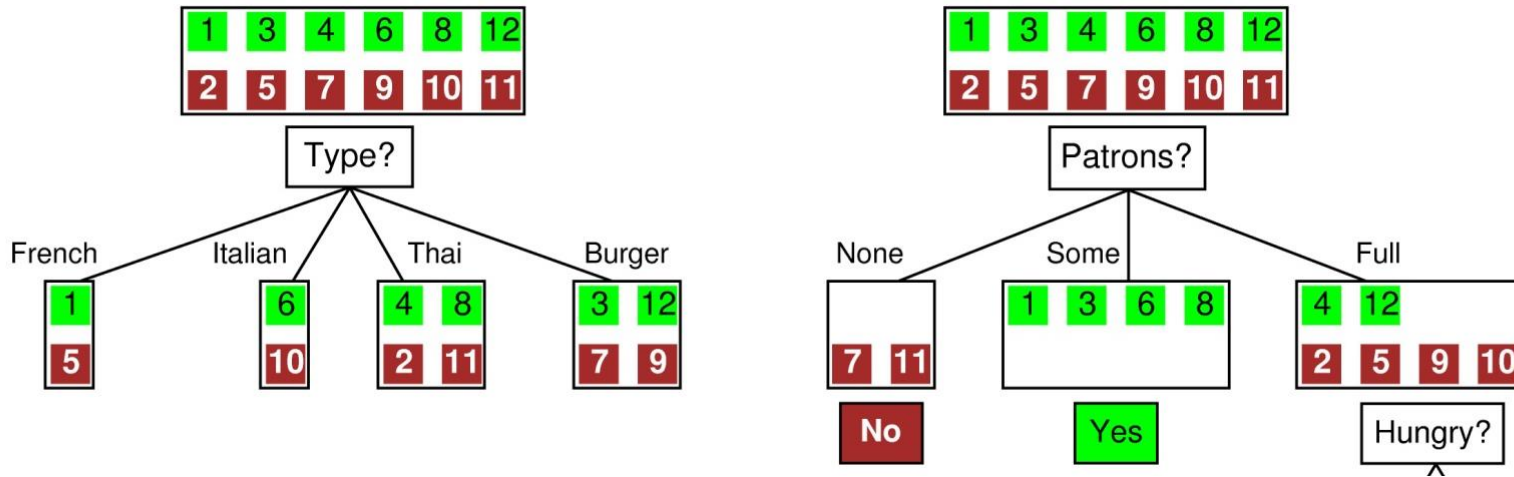
weight for the  $i^{\text{th}}$  branch

Conditional entropy for the  $i^{\text{th}}$  branch.

$\frac{p_i}{p_i + n_i}, \frac{n_i}{p_i + n_i}$ : distribution of positive and negative outcomes when *Patrons* = the  $i^{\text{th}}$  value



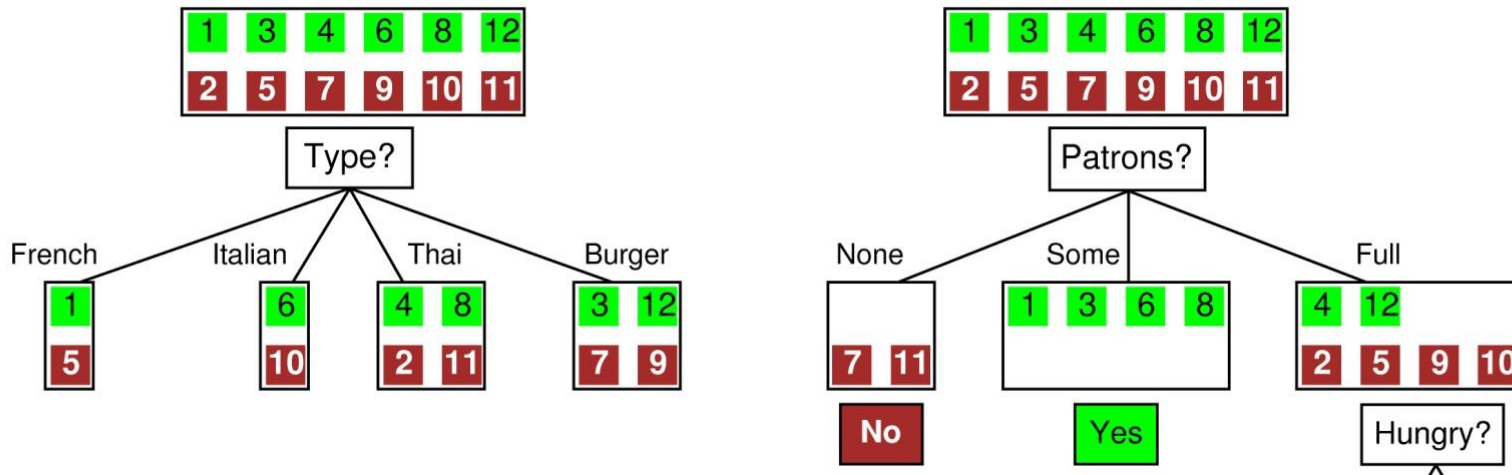
# Minimum Conditional Entropy



- Find the Attribute that leads to the minimum conditional entropy of the outcome
  - Find the attribute  $A$  such that  $H(Outcome|A)$  is the minimum.

•  $H(Outcome|Patrons) = \frac{2}{12}H(0,1) + \frac{4}{12}H(1,0) + \frac{6}{12}H\left(\frac{2}{6}, \frac{4}{6}\right) = 0.459$  bits

# Minimum Conditional Entropy



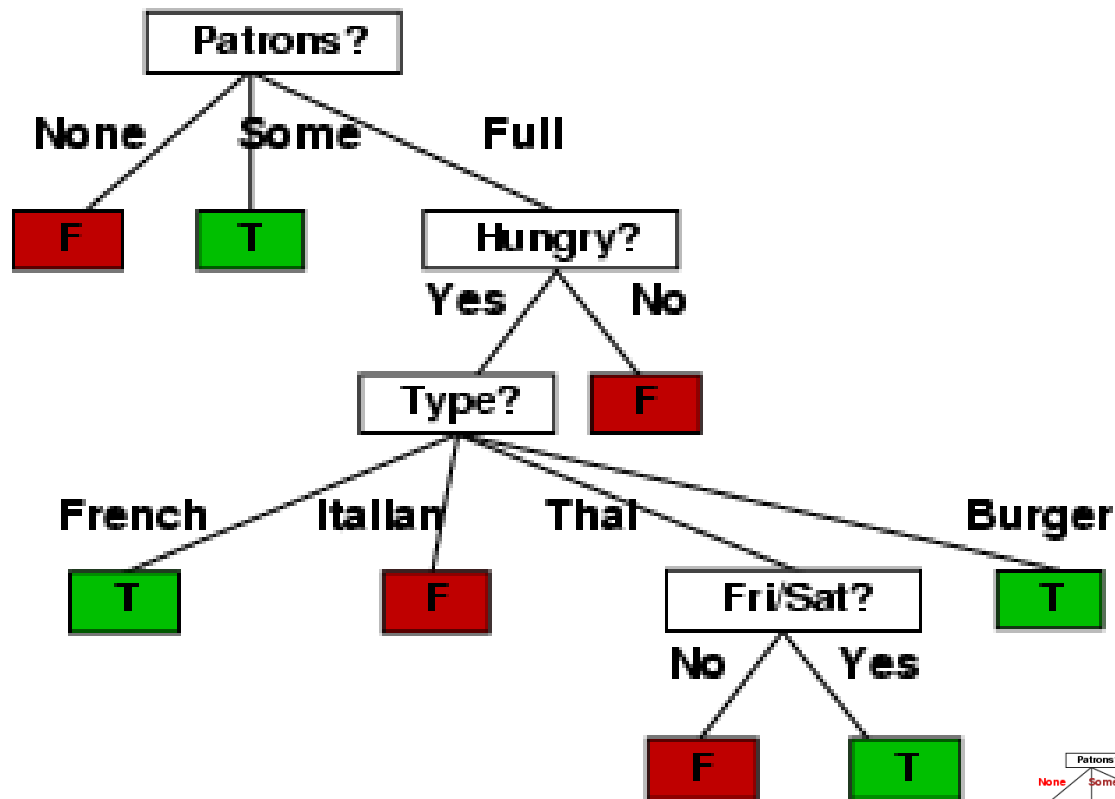
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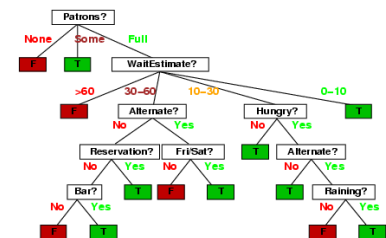
- $H(\text{Outcome}|\text{Type}) = \frac{2}{12}H\left(\frac{1}{2}, \frac{1}{2}\right) + \frac{2}{12}H\left(\frac{1}{2}, \frac{1}{2}\right) + \frac{4}{12}H\left(\frac{2}{4}, \frac{2}{4}\right) + \frac{4}{12}H\left(\frac{2}{4}, \frac{2}{4}\right) = 1$  bit

# Example contd.

- Decision tree learned from the 12 training examples:



- Substantially simpler than “true” tree



# Example

- You are a robot in the aquarium section of a pet store, and must learn to **discriminate Red fish from Blue fish**. You will **learn to discriminate them by body parts**. You choose to learn a Decision Tree classifier. You are given the following examples:

| <b>Example</b> | <b>Fins</b> | <b>Tail</b> | <b>Body</b> | <b>Class</b> |
|----------------|-------------|-------------|-------------|--------------|
| Example #1     | Thin        | Small       | Slim        | Red          |
| Example #2     | Wide        | Large       | Slim        | Red          |
| Example #3     | Thin        | Large       | Slim        | Red          |
| Example #4     | Wide        | Small       | Medium      | Red          |
| Example #5     | Thin        | Small       | Medium      | Blue         |
| Example #6     | Wide        | Large       | Fat         | Blue         |
| Example #7     | Thin        | Large       | Fat         | Blue         |
| Example #8     | Wide        | Small       | Fat         | Blue         |



# Example

| <b>Example</b> | <b>Fins</b> | <b>Tail</b> | <b>Body</b> | <b>Class</b> |
|----------------|-------------|-------------|-------------|--------------|
| Example #1     | Thin        | Small       | Slim        | Red          |
| Example #2     | Wide        | Large       | Slim        | Red          |
| Example #3     | Thin        | Large       | Slim        | Red          |
| Example #4     | Wide        | Small       | Medium      | Red          |
| Example #5     | Thin        | Small       | Medium      | Blue         |
| Example #6     | Wide        | Large       | Fat         | Blue         |
| Example #7     | Thin        | Large       | Fat         | Blue         |
| Example #8     | Wide        | Small       | Fat         | Blue         |

- What is the entropy of Class before testing any attribute?

$$H\left(\frac{4}{8}, \frac{4}{8}\right) = H\left(\frac{1}{2}, \frac{1}{2}\right) = -\frac{1}{2}\log_2\frac{1}{2} - \frac{1}{2}\log_2\frac{1}{2} = 1 \text{ bit}$$

# Example

| Example    | Fins | Tail  | Body   | Class |
|------------|------|-------|--------|-------|
| Example #1 | Thin | Small | Slim   | Red   |
| Example #2 | Wide | Large | Slim   | Red   |
| Example #3 | Thin | Large | Slim   | Red   |
| Example #4 | Wide | Small | Medium | Red   |
| Example #5 | Thin | Small | Medium | Blue  |
| Example #6 | Wide | Large | Fat    | Blue  |
| Example #7 | Thin | Large | Fat    | Blue  |
| Example #8 | Wide | Small | Fat    | Blue  |

- What is the conditional entropy of Class under attribute Fins?

$$\begin{aligned} H(C|Fins) &= \frac{4}{8} \times H(C|Fins = Thin) + \frac{4}{8} \times H(C|Fins = Wide) \\ &= \frac{1}{2} \times H\left(\frac{2}{4}, \frac{2}{4}\right) + \frac{1}{2} \times H\left(\frac{2}{4}, \frac{2}{4}\right) \\ &= \frac{1}{2} \times H\left(\frac{1}{2}, \frac{1}{2}\right) + \frac{1}{2} \times H\left(\frac{1}{2}, \frac{1}{2}\right) = \frac{1}{2} \times 1 + \frac{1}{2} \times 1 = 1 \text{ bit} \end{aligned}$$

# Example

| Example    | Fins | Tail  | Body   | Class |
|------------|------|-------|--------|-------|
| Example #1 | Thin | Small | Slim   | Red   |
| Example #2 | Wide | Large | Slim   | Red   |
| Example #3 | Thin | Large | Slim   | Red   |
| Example #4 | Wide | Small | Medium | Red   |
| Example #5 | Thin | Small | Medium | Blue  |
| Example #6 | Wide | Large | Fat    | Blue  |
| Example #7 | Thin | Large | Fat    | Blue  |
| Example #8 | Wide | Small | Fat    | Blue  |

- What is the conditional entropy of Class under attribute Tail ?

$$H(C|Tail) = \frac{4}{8} \times H(C|Tail = Small) + \frac{4}{8} \times H(C|Tail = Large)$$

$$= \frac{1}{2} \times H\left(\frac{2}{4}, \frac{2}{4}\right) + \frac{1}{2} \times H\left(\frac{2}{4}, \frac{2}{4}\right)$$

$$= \frac{1}{2} \times H\left(\frac{1}{2}, \frac{1}{2}\right) + \frac{1}{2} \times H\left(\frac{1}{2}, \frac{1}{2}\right) = \frac{1}{2} \times 1 + \frac{1}{2} \times 1 = 1 \text{ bit}$$

# Example

| Example    | Fins | Tail  | Body   | Class |
|------------|------|-------|--------|-------|
| Example #1 | Thin | Small | Slim   | Red   |
| Example #2 | Wide | Large | Slim   | Red   |
| Example #3 | Thin | Large | Slim   | Red   |
| Example #4 | Wide | Small | Medium | Red   |
| Example #5 | Thin | Small | Medium | Blue  |
| Example #6 | Wide | Large | Fat    | Blue  |
| Example #7 | Thin | Large | Fat    | Blue  |
| Example #8 | Wide | Small | Fat    | Blue  |

- What is the conditional entropy of Class under attribute Body ?

$$\begin{aligned} H(C|Body) &= \frac{3}{8} \times H(C|Body = Slim) + \frac{2}{8} \times H(C|Body = Medium) + \\ &\frac{3}{8} \times H(C|Body = Fat) \\ &= \frac{3}{8} \times H\left(\frac{3}{3}, \frac{0}{3}\right) + \frac{2}{8} \times H\left(\frac{1}{2}, \frac{1}{2}\right) + \frac{3}{8} \times H\left(\frac{0}{3}, \frac{3}{3}\right) \\ &= \frac{3}{8} \times H(1,0) + \frac{2}{8} \times H\left(\frac{1}{2}, \frac{1}{2}\right) + \frac{3}{8} \times H(0,1) \\ &= \frac{3}{8} \times 0 + \frac{2}{8} \times 1 + \frac{3}{8} \times 0 = 0.25 \text{ bits} \end{aligned}$$

# Example

| <b>Example</b> | <b>Fins</b> | <b>Tail</b> | <b>Body</b> | <b>Class</b> |
|----------------|-------------|-------------|-------------|--------------|
| Example #1     | Thin        | Small       | Slim        | Red          |
| Example #2     | Wide        | Large       | Slim        | Red          |
| Example #3     | Thin        | Large       | Slim        | Red          |
| Example #4     | Wide        | Small       | Medium      | Red          |
| Example #5     | Thin        | Small       | Medium      | Blue         |
| Example #6     | Wide        | Large       | Fat         | Blue         |
| Example #7     | Thin        | Large       | Fat         | Blue         |
| Example #8     | Wide        | Small       | Fat         | Blue         |

- Which attribute will you select as the root attribute, and why?  
Body, because the conditional entropy of Class is the smallest under attribute Body.

# Example

| Example    | Fins | Tail  | Body   | Class |
|------------|------|-------|--------|-------|
| Example #1 | Thin | Small | Slim   | Red   |
| Example #2 | Wide | Large | Slim   | Red   |
| Example #3 | Thin | Large | Slim   | Red   |
| Example #4 | Wide | Small | Medium | Red   |
| Example #5 | Thin | Small | Medium | Blue  |
| Example #6 | Wide | Large | Fat    | Blue  |
| Example #7 | Thin | Large | Fat    | Blue  |
| Example #8 | Wide | Small | Fat    | Blue  |

- What is the **entropy** of Class under Fins when Body=Medium?
- **Answer:**
- When Body=Medium, if Fins=Wide, then Class=Red; if Fins=Thin, then Class=Blue. Hence, there is no uncertainty.
- $H(C|Fins, Body=Medium) = 0$  bit

# Example

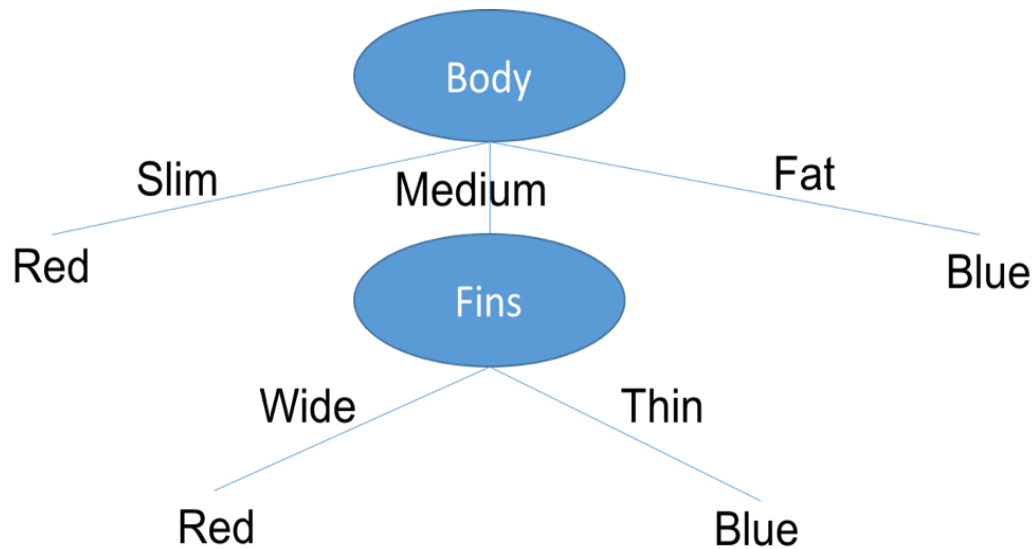
| Example    | Fins | Tail  | Body   | Class |
|------------|------|-------|--------|-------|
| Example #1 | Thin | Small | Slim   | Red   |
| Example #2 | Wide | Large | Slim   | Red   |
| Example #3 | Thin | Large | Slim   | Red   |
| Example #4 | Wide | Small | Medium | Red   |
| Example #5 | Thin | Small | Medium | Blue  |
| Example #6 | Wide | Large | Fat    | Blue  |
| Example #7 | Thin | Large | Fat    | Blue  |
| Example #8 | Wide | Small | Fat    | Blue  |

- What is the **entropy of Class under Tail when Body=Medium?**
- **Answer:**
- When Body=Medium, Example #4 and Example #5 show that Tail=Small, and corresponding result is Class=Red and Class=Blue, respectively.
- Hence,  $H(C | \text{Tail}, \text{Body}=\text{Medium}) = \frac{2}{2} \times H\left(\frac{1}{2}, \frac{1}{2}\right) = 1$

# Example

- Draw the complete decision tree.
- **Answer:**

From the previous results, the first attribute to test is Body. If Body=Slim, then Class=Red; if Body=Fat, then Class=Blue; if Body=Medium, then we test attribute Fins, because **the entropy of Class under Fins given that Body=Medium is 0**.





# Example

- Consider the following data set comprised of three binary input attributes ( $A_1, A_2, A_3$ ), and one binary output:

| <b>Example</b> | $A_1$ | $A_2$ | $A_3$ | Output $y$ |
|----------------|-------|-------|-------|------------|
| $x_1$          | 1     | 0     | 0     | 0          |
| $x_2$          | 1     | 0     | 1     | 0          |
| $x_3$          | 0     | 1     | 0     | 0          |
| $x_4$          | 1     | 1     | 1     | 1          |
| $x_5$          | 1     | 1     | 0     | 1          |

- Learn a decision tree for these data.

# Example

| <b>Example</b> | $A_1$ | $A_2$ | $A_3$ | Output $y$ |
|----------------|-------|-------|-------|------------|
| $x_1$          | 1     | 0     | 0     | 0          |
| $x_2$          | 1     | 0     | 1     | 0          |
| $x_3$          | 0     | 1     | 0     | 0          |
| $x_4$          | 1     | 1     | 1     | 1          |
| $x_5$          | 1     | 1     | 0     | 1          |

- Before testing any attribute,  $H(y) = ?$
- $H(y) = H\left(\frac{2}{5}, \frac{3}{5}\right) = 0.971$  bits

# Example

| Example | $A_1$ | $A_2$ | $A_3$ | Output $y$ |
|---------|-------|-------|-------|------------|
| $x_1$   | 1     | 0     | 0     | 0          |
| $x_2$   | 1     | 0     | 1     | 0          |
| $x_3$   | 0     | 1     | 0     | 0          |
| $x_4$   | 1     | 1     | 1     | 1          |
| $x_5$   | 1     | 1     | 0     | 1          |

- What is the entropy of  $y$  under attribute  $A_1$ ?
- $H(y|A_1) = \frac{4}{5} \times H\left(\frac{1}{2}, \frac{1}{2}\right) + \frac{1}{5} \times H(0,1)$
- $= \frac{4}{5} \times 1 + 0 = 0.8$  bits

# Example

| Example | $A_1$ | $A_2$ | $A_3$ | Output $y$ |
|---------|-------|-------|-------|------------|
| $x_1$   | 1     | 0     | 0     | 0          |
| $x_2$   | 1     | 0     | 1     | 0          |
| $x_3$   | 0     | 1     | 0     | 0          |
| $x_4$   | 1     | 1     | 1     | 1          |
| $x_5$   | 1     | 1     | 0     | 1          |

- What is the entropy of  $y$  under attribute  $A_2$ ?
- $H(y|A_2) = \frac{3}{5} \times H\left(\frac{2}{3}, \frac{1}{3}\right) + \frac{2}{5} \times H(0,1) = 0.6 \times 0.918 = \mathbf{0.551}$  bits

# Example

| Example | $A_1$ | $A_2$ | $A_3$ | Output $y$ |
|---------|-------|-------|-------|------------|
| $x_1$   | 1     | 0     | 0     | 0          |
| $x_2$   | 1     | 0     | 1     | 0          |
| $x_3$   | 0     | 1     | 0     | 0          |
| $x_4$   | 1     | 1     | 1     | 1          |
| $x_5$   | 1     | 1     | 0     | 1          |

- What is the entropy of  $y$  under attribute  $A_3$ ?
- $H(y|A_3) = \frac{2}{5} \times H\left(\frac{1}{2}, \frac{1}{2}\right) + \frac{3}{5} \times H\left(\frac{1}{3}, \frac{2}{3}\right) = 0.4 + 0.6 \times 0.918 = 0.951$  bits
- Which attribute to test first?
- Test  $A_2$  first!

# Example

| <b>Example</b> | $A_1$ | $A_2$ | $A_3$ | Output $y$ |
|----------------|-------|-------|-------|------------|
| $x_1$          | 1     | 0     | 0     | 0          |
| $x_2$          | 1     | 0     | 1     | 0          |
| $x_3$          | 0     | 1     | 0     | 0          |
| $x_4$          | 1     | 1     | 1     | 1          |
| $x_5$          | 1     | 1     | 0     | 1          |

- Test  $A_2$  first!
- If  $A_2 = 0$ , do you need to test another attribute?
- If  $A_2 = 0$ , Output  $y = 0$ , finished.
- If  $A_2 = 1$ , test  $A_1$  or  $A_3$ ?

# Example

| Example | $A_1$ | $A_2$ | $A_3$ | Output $y$ |
|---------|-------|-------|-------|------------|
| $x_1$   | 1     | 0     | 0     | 0          |
| $x_2$   | 1     | 0     | 1     | 0          |
| $x_3$   | 0     | 1     | 0     | 0          |
| $x_4$   | 1     | 1     | 1     | 1          |
| $x_5$   | 1     | 1     | 0     | 1          |

- If  $A_2 = 1$ , test  $A_1$  or  $A_3$ ?
- $H(y|A_1, A_2 = 1) = ?$
- $H(y|A_1, A_2 = 1) = \frac{2}{3} \times H(1,0) + \frac{1}{3} \times H(0,1) = 0$  bit

# Example

| Example | $A_1$ | $A_2$ | $A_3$ | Output $y$ |
|---------|-------|-------|-------|------------|
| $x_1$   | 1     | 0     | 0     | 0          |
| $x_2$   | 1     | 0     | 1     | 0          |
| $x_3$   | 0     | 1     | 0     | 0          |
| $x_4$   | 1     | 1     | 1     | 1          |
| $x_5$   | 1     | 1     | 0     | 1          |

- If  $A_2 = 1$ , test  $A_1$  or  $A_3$ ?
- $H(y|A_3, A_2 = 1) = ?$
- $H(y|A_3, A_2 = 1) = \frac{1}{3} \times H(1,0) + \frac{2}{3} \times H\left(\frac{1}{2}, \frac{1}{2}\right) > 0$  bit



# Example

| Example | $A_1$ | $A_2$ | $A_3$ | Output $y$ |
|---------|-------|-------|-------|------------|
| $x_1$   | 1     | 0     | 0     | 0          |
| $x_2$   | 1     | 0     | 1     | 0          |
| $x_3$   | 0     | 1     | 0     | 0          |
| $x_4$   | 1     | 1     | 1     | 1          |
| $x_5$   | 1     | 1     | 0     | 1          |

- If  $A_2 = 1$ , test  $A_1$  or  $A_3$ ?
- $H(y|A_1, A_2 = 1) = 0$  bit
- $H(y|A_3, A_2 = 1) > 0$  bit
- Hence, **test  $A_1$ !**

# Example

- Draw the decision tree

